

# Maternal Levels of Care Designation- Overview Panel Discussion

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**Emily Briggs, MD, Chair, Texas HHSC Perinatal Advisory Council**

**Eugene Toy, MD, Past Chair, HHSC Perinatal Advisory Council**



**January 29-30, 2019**

AT&T Executive Conference Center  
Austin, Texas



# Disclosures

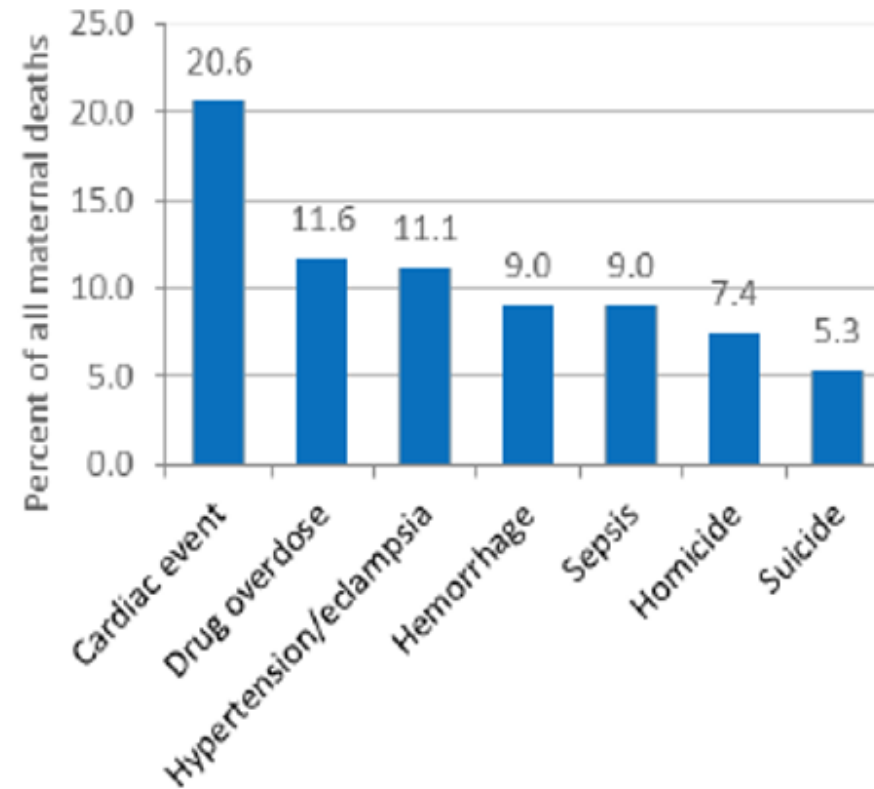
- **Dr. Toy is Medical Director for the ACOG Levels of Care Designation Program in Texas. He does not receive any financial remuneration from the designation program.**
- **Ms. Stevenson and Dr. Briggs have no disclosures or conflicts of interest.**

# Objectives

- **1. Describe the goals of neonatal/maternal designation.**
- **2. Briefly provide an overview of the maternal designation program.**
- **3. Describe the current status of the neonatal/maternal designations in Texas.**
- **4. Describe why perinatal designation is important.**
- **5. Describe the survey and application process**

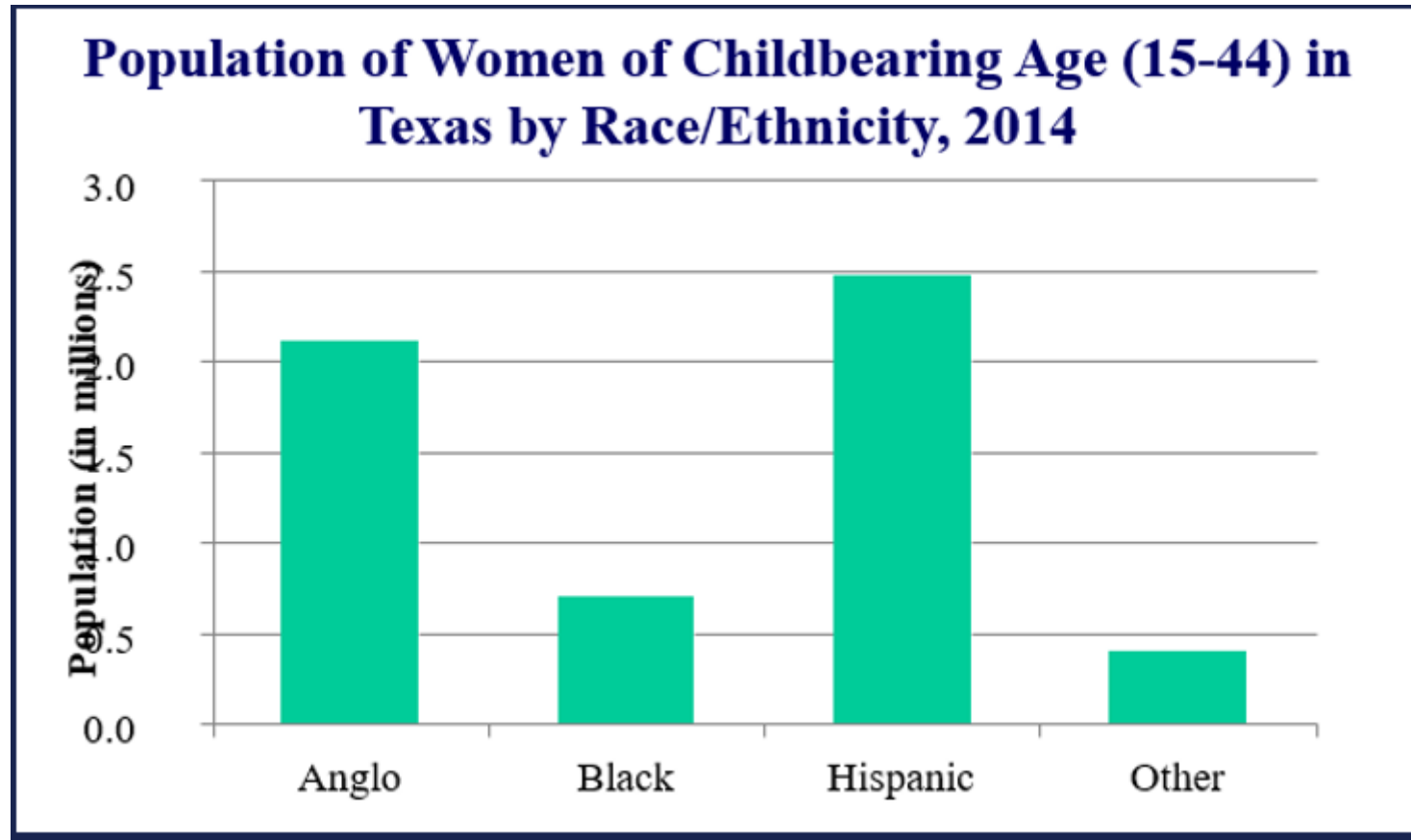
# Women's Health in Texas

**Figure 2. Top causes of maternal death in Texas in 2011-2012.**



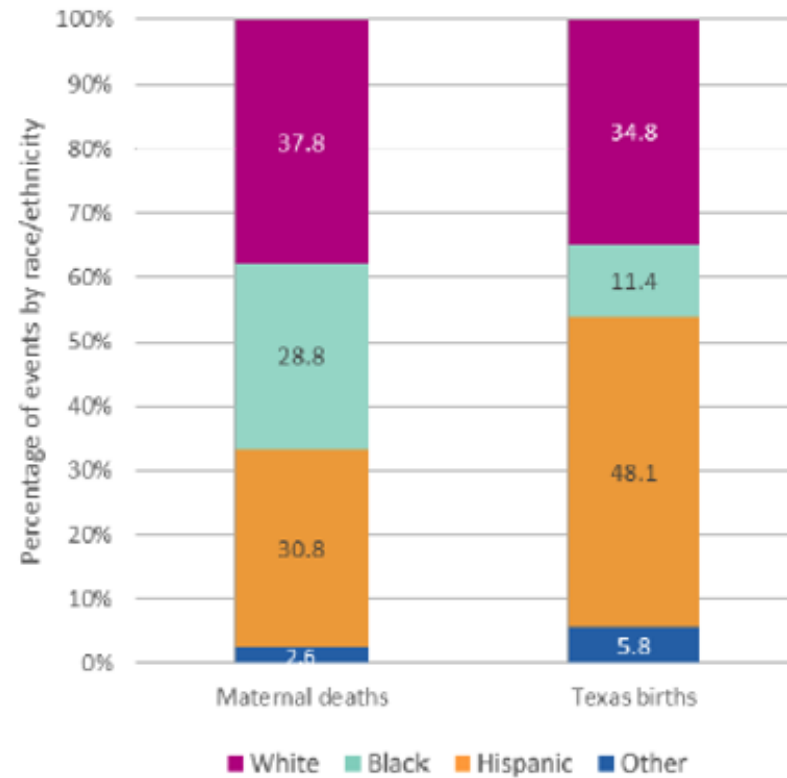
Source: CHS Death File, 2011-2012  
Prepared by: Office of Program Decision Support, FCHS, DSHS, 2016

# Women's Health in Texas



# Women's Health in Texas

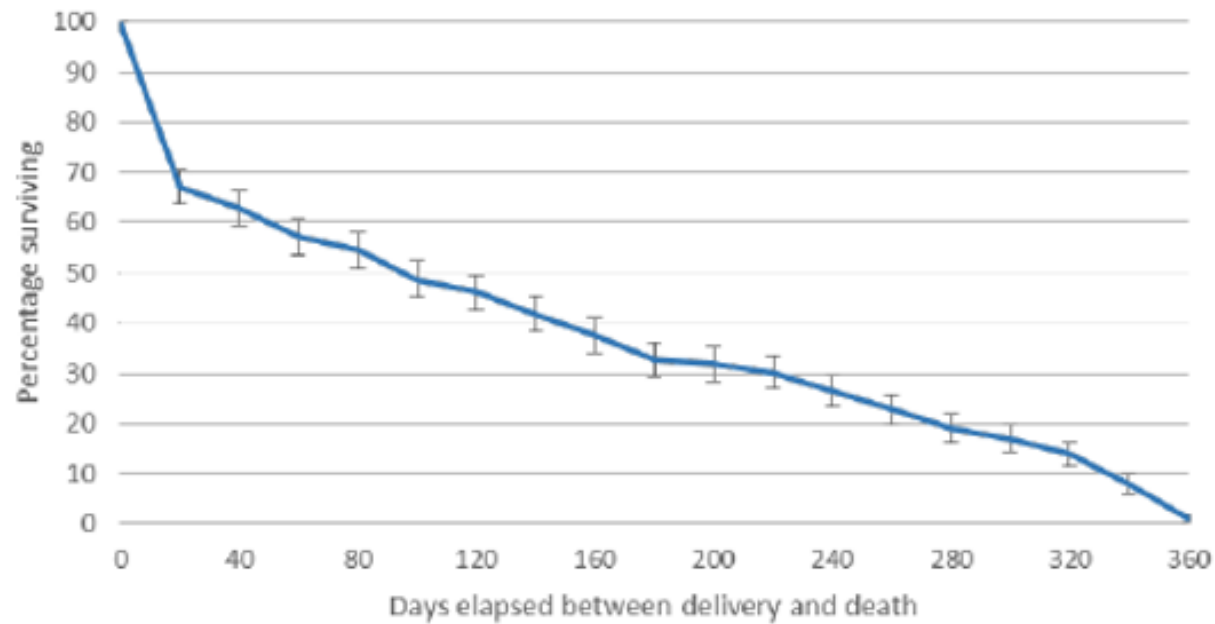
**Figure 1. Percentage of Texas maternal deaths and births in 2011-2012 by mother's race/ethnicity.**



Source: CHS Birth and Death Files, 2011-2012  
Prepared by: Office of Program Decision Support, FCHS, DSHS, 2016

# Women's Health in Texas

**Figure 3. Survival plot of time elapsed between delivery and death, 2011-2012 maternal deaths**




Source: CHS Birth, Fetal Death, and Death Files, 2011-2012  
Prepared by: Office of Program Decision Support, FCHS, DSHS, 2016

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## EMS-Trauma Systems

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**NEW!**

Sign up to receive announcements by email regarding the EMS Trauma Systems program. This feature will serve as a tool to increase communication with stakeholders regarding new information added to the website.


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### Customer Service Survey

We value your feedback. Please take our online customer service survey at <https://www.surveymonkey.com/r/RLUsurvey>. Thank you.

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**Welcome to the home page of the DSHS program that regulates EMS and trauma systems in Texas. This website contains information about EMS certification and licensure, trauma designation, how to contact us and more.**



**Memorandums of Agreement  
for Disaster Response**  
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### [Special message for military personnel and veterans](#)

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#### Emergency Guidance Regarding Professional and Business License and Certification Renewal Applications in Texas Counties Under The Governor's Disaster Declaration

In accordance with section 418.016 of the Texas Government Code, the Office of the Governor temporarily suspended all necessary DSHS statutes and rules pertaining to professional and business license or certification renewal applications, including the suspension of any late fees

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# Maternal Designation Website

## Maternal Levels of Care Designation

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The purpose of the Maternal Levels of Care Designation is to implement House Bill 15, 83rd Legislature, Regular Session, 2013, which added Health and Safety Code, Subchapter H, Hospital Level of Care Designations for Neonatal and Maternal Care, Sections 241.181 - 241.187. House Bill 3433, 84th Legislature, Regular Session, 2015 amended Health and Safety Code, Chapter 241 and requires the development of initial rules to create the neonatal/maternal level of care designation by March 1, 2018. The maternal levels of care designation rule became effective on March 1, 2018 and the designation for maternal level of care is an eligibility requirement for Medicaid reimbursement beginning September 1, 2020.

### Rules

The maternal designation rule, effective March 1, 2018 is found at the [Texas Administrative Code, Title 25, Chapter 133, Subchapter K](#).

### Related Programs

#### Survey Organizations

[American College of Obstetricians and Gynecologists](#)

[TETAF Maternal Services and Consultation](#)

### Advisory Council

#### [Perinatal Advisory Council](#)

The Perinatal Advisory Council, created by House Bill 15 of the 83rd Texas Legislature (Regular Session), develops and recommends criteria for designating levels of neonatal and maternal care, including specifying the minimum requirements to qualify for each level designation and a process for the assignment of levels of care to a hospital, makes recommendations for dividing the state into neonatal and maternal care regions, examines utilization trends in neonatal and maternal care, and recommends ways to improve neonatal and maternal outcomes.

# Maternal Rule



## Texas Administrative Code

TITLE 25

HEALTH SERVICES

PART 1

DEPARTMENT OF STATE HEALTH SERVICES

CHAPTER 133

HOSPITAL LICENSING

### Subchapters

SUBCHAPTER A GENERAL PROVISIONS

SUBCHAPTER B HOSPITAL LICENSE

SUBCHAPTER C OPERATIONAL REQUIREMENTS

SUBCHAPTER D VOLUNTARY AGREEMENTS

SUBCHAPTER E WAIVER PROVISIONS

SUBCHAPTER F INSPECTION AND INVESTIGATION PROCEDURES

SUBCHAPTER G ENFORCEMENT

SUBCHAPTER H FIRE PREVENTION AND SAFETY REQUIREMENTS

SUBCHAPTER I PHYSICAL PLANT AND CONSTRUCTION REQUIREMENTS

SUBCHAPTER J HOSPITAL LEVEL OF CARE DESIGNATIONS FOR NEONATAL AND MATERNAL CARE

SUBCHAPTER K HOSPITAL LEVEL OF CARE DESIGNATIONS FOR MATERNAL CARE

SUBCHAPTER L CENTERS OF EXCELLENCE FOR FETAL DIAGNOSIS AND THERAPY

# Maternal Rule

## Texas Administrative Code

TITLE 25

HEALTH SERVICES

PART 1

DEPARTMENT OF STATE HEALTH SERVICES

CHAPTER 133

HOSPITAL LICENSING

SUBCHAPTER K

HOSPITAL LEVEL OF CARE DESIGNATIONS FOR MATERNAL CARE

### Rules

§133.201

Purpose

§133.202

Definitions

§133.203

General Requirements

§133.204

Designation Process

§133.205

Program Requirements

§133.206

Maternal Designation Level I

§133.207

Maternal Designation Level II

§133.208

Maternal Designation Level III

§133.209

Maternal Designation Level IV

§133.210

Survey Team

# Maternal Rule - Purpose

**The purpose of this subchapter is to implement Health and Safety Code, Chapter 241, Subchapter H, Hospital Level of Care Designations for Neonatal and Maternal Care, which requires a level of care designation of maternal services to be eligible to receive reimbursement through the Medicaid program for maternal services.**

**Source Note: The provisions of this §133.201 adopted to be effective March 1, 2018, 43 TexReg 875**

# Important Points

- **Designation is a formal recognition for a hospital's maternal care capabilities and commitment to excellence that exceed minimum hospital licensure requirements.**
- **The hospital's commitment is evaluated through compliance with the Texas Administrative Code (TAC) requirements.**
- **The Quality Assurance and Performance Improvement process is essential in the designation program to ensure patients receive appropriate and quality care during their stay in the hospital.**
- **Peer Review process utilized to evaluate appropriate care and patient outcomes.**

# Perinatal Designation Program Today

- **Facilities Surveyed for Maternal Designation – 23 Total**

- **Level IV – 13**
- **Level III – 4**
- **Level II – 2**

**Applications Received – 10**

- **Neonatal Designations – 233 Total**

**Level IV - 20**

**Level III - 56**

**Level II - 75**

**Level I - 82**

# The Perinatal Advisory Council (PAC)

- **Established in 2013 by HB 15 of the 83<sup>rd</sup> Texas Legislature**
- **Charged with providing clinical recommendations to DSHS → fold them into required rules template**
  - **detailed for both Neonatal levels of care and for Maternal levels of care**
  - **both rules have been adopted now and the PAC (Sunset 2025) will focus on**
    - **best practices**
    - **trends in neonatal and maternal results post implementation of the new hospital designation programs.**
- **Maternal levels of care designation rule effective March 1, 2018; designation for maternal level of care is an eligibility requirement for Medicaid reimbursement beginning September 1, 2020**

# Perinatal Advisory Council

- **Completed tasks**
  - develop and recommend criteria for designating levels of neonatal and maternal care, respectively, including specifying the minimum requirements to qualify for each level designation;
  - develop and recommend a process for the assignment of levels of care to a hospital for neonatal and maternal care, respectively;
  - make recommendations for the division of the state into neonatal and maternal care regions;\_Completed, however DSHS made final decision to use the infrastructure already in place for the RACs that support Trauma and EMS
- **Focus for future PAC meetings**
  - examine utilization trends relating to neonatal and maternal care;
  - make recommendations related to improving neonatal and maternal outcomes.



# **PAC - Role of the Family Physician**

- **Wide knowledge base allows for comprehensive care with low and moderate risk patients**
- **May serve as the Maternal Medical Director for Level I or Level II facilities**
- **May serve as the Primary Provider caring for the obstetric patient**
- **Must be available to attend all deliveries or other obstetrical emergencies at Level I or Level II facilities**

# Perinatal Advisory Council

- Stakeholders are able to voice concerns at the Council meetings
  - Rural facility representatives
  - Underserved populations
- Improved awareness of unintended consequences



# Survey Process: Generic

- **Level 1 = self-assessment and affidavit required**
- **Level 2 and Level 3 = survey by 1 OB/GYN physician and 1 maternal nurse**
- **Level 4 = survey by 1 MFM physician, 1 OB/GYN physician and 1 maternal nurse**
- **Survey generally lasts 1.5 to 2 days**

# Neonatal and Maternity Designations


Legislation signed into law in 2013 and 2015:

Each hospital that provides neonatal and/or maternity care will need to undergo state designation process to receive Medicaid funds

- **Neonatal designation: by September 1, 2018**
- **Maternal designation: by September 1, 2020**

More Information on the Texas state website:

<https://www.dshs.texas.gov/emstraumasystems/maternal.aspx>

 **TEXAS**  
Health and Human Services

Texas Department of State Health Services

Advanced Inicio en español  
Text Size: + -

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### Maternal Levels of Care Designation

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#### Applications

[Maternal Facility Designation](#)

#### Rules

The maternal designation rule, effective March 1, 2018 is found at the [Texas Administrative Code, Title 25, Chapter 133, Subchapter K](#).

#### Related Programs

##### Survey Organizations

[American College of Obstetricians and Gynecologists](#)  
[Texas Perinatal Services](#)

#### Advisory Council

[Perinatal Advisory Council](#)

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# State Website

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Print out application to the State

Texas Admin Code for  
Maternal Designation

Survey Organizations

## Maternal Levels of Care Designation

### Resource Documents

These tools may be used to evaluate your facilities compliance with the Maternal Level of Care that you will be applying for.

The complete self-assessment tool for Level I facilities with the specific documents to be submitted in the application packet will be posted on **June 1, 2018** with the application for designation.

[Level I Maternal – Self-Assessment](#)  
[Level II Maternal – Self-Assessment](#)  
[Level III Maternal – Self-Assessment](#)  
[Level IV Maternal – Self-Assessment](#)  
[Obstetric Care Consensus](#)

**Print out Self Assessment  
Forms & ACOG Obstetric  
Consensus Document**

**Provider training webinars.** Introduction and review of the new requirements. Recordings of the training are listed below. Each link will take you to a page where you must enter your name and email address to access the video. **Webinar recordings:**

[Level I Designation – March 22, 2018](#)  
[Level II Designation – March 27, 2018](#)  
[Level III Designation – March 28, 2018](#)  
[Level IV Designation – March 29, 2018](#)

#### PowerPoint presentations from webinars:

[Level I PowerPoint presentation](#)  
[Level II PowerPoint presentation](#)  
[Level III PowerPoint presentation](#)  
[Level IV PowerPoint presentation](#)

**Watch State Webinars &  
PowerPoints and take notes**

### Contact Us

**Email:** [DSHS.EMS-TRAUMA@dshs.texas.gov](mailto:DSHS.EMS-TRAUMA@dshs.texas.gov)

#### Staff Contacts

**For technical assistance, call or email the Perinatal Program Specialist:**

Shyriecé Norris- (512) 231-5748  
[Shyriecé.Norris@dshs.texas.gov](mailto:Shyriecé.Norris@dshs.texas.gov)

**For process or rule clarification, please contact the following:**

#### Designation Program Manager

Elizabeth Stevenson, RN – (512) 834-6794  
[elizabeth.stevenson@dshs.texas.gov](mailto:elizabeth.stevenson@dshs.texas.gov)

**Texas DSHS Contact Info:  
Email if questions**

# Format of Site Visit

- Schedule through survey organization
- Generally takes about 3-4 months of preparation time
- DAY 1:
  - Introductions
  - Chart Audit, review policies, review credentials, documentation
  - Meet hospital leaders (dinner or breakfast meeting)
- DAY 2:
  - Interviews with various department and hospital leaders and staff
  - Tour of facility
  - Debrief

# Remember: This is Verification

- **Verification means that the hospital must provide documentation or evidence of compliance with rules**
- **It is not the “job” of the surveyors to have to dig through and find compliance, but the hospital’s job to provide evidence (Jane Guerrero & Elizabeth Stevenson)**
- **How do surveyors verify?**
  - **Chart Audit**
  - **Documentation (meeting minutes, roster sign-in’s, files)**
  - **Interviews with staff (not leaders)**
  - **Tour by direct visualization**



# The Chart Audit

- **Have one computer station for each surveyor and at least one navigator per station**
  - **Make sure your navigators KNOW how to maneuver around chart, and what type of documentation is desired (what doctors want AND what nurses want)**
- **Have ancillary documentation handy**
  - **Policies and Guidelines**
  - **Quality Meetings relevant to patient**
  - **Changes in policy based on that patient event**
  - **Fetal heart rate tracing**



# Your Providers and Staff

- **Be prepared to show your that your physicians, nurses, and other personnel meet qualifications**
  - Scope of services (critical care for Level III, IV)
- **All leaders have qualifications**
  - Mat Medical Director, Mat Program Manager
  - Dir of Anesthesia
  - Level III/IV – others (MFM Dir, Critical Care Team Dir, Transport Dir)
- **Physicians have continuing education in OB including complex situations**
- **Staff competencies**
- **Team training: sign-in's and logs?**



# Coordination

- **Make sure the other services in your hospital are aware of your goals**
- **Coordination of care**
- **How do medical & nursing services from other areas coordinate with OB physician/nurses?**
- **Collaboration (especially in ICU)**
- **Are policies “maternal specific”?**
  - **Example: disaster response, resuscitation**

## COORDINATION



# Questions?

